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Confirmation Number 2056  
Serial Number 10/042,129  
Attorney's Docket Number 01545.023

**PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Neil Nickolaisen, et al.

Examiner:

Serial Number: 10/042,129

Art Unit: 2161

Filed: January 8, 2002

Assignee: Center 7, Inc.

For: "Systems and Methods for Containing and Accessing Generic Policy"

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97(b)**

Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached Form PTO/SB/08A. Copies of the listed documents are attached. This submission is intended to comply with the requirements of 37 C.F.R. §§ 1.56 and 1.97. Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D. C. 20231, on this 7<sup>th</sup> day of August, 2002.

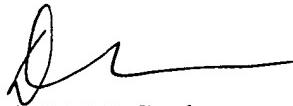
Signed: \_\_\_\_\_  
*[Signature]*  
Dated: \_\_\_\_\_ 8/7/02  
480979.1

This submission does not constitute an admission that each or all of the listed documents are material or constitute prior art under 35 U.S.C. § 102.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 50-0581.

Respectfully,

PARSONS BEHLE & LATIMER



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Attorney for Applicants  
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August 7, 2002  
Salt Lake City, Utah



AUG 12 2002

PTO/SB/08A (10-01)

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**Substitute for form 1449A/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(use as many sheets as necessary)*

Sheet 1 of 4

**Complete if Known**

Substitute for form 1449A/PTO				<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	
				Filing Date	January 8, 2002
				First Named Inventor	Niel R. Nickolaisen
				Art Unit	
				Examiner Name	
Sheet	1	of	4	Attorney Docket Number	01545.023

## U.S. PATENT DOCUMENTS

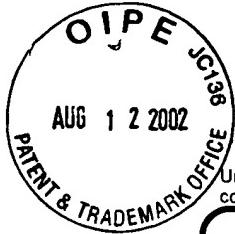
## **FOREIGN PATENT DOCUMENTS**

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Sheet 2 of 4

### Complete if Known

Application Number	
Filing Date	January 8, 2002
First Named Inventor	Niel R. Nickolaisen
Group Art Unit	
Examiner Name	
Attorney Docket Number	01545.023

### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		CENTER 7 INC., "Center 7 Announces ProfitCenter Managed E-Commerce Hosting Services for Oracle iStore", Aug. 29, 2001, Salt Lake City, UT	
		CENTER 7 INC., "ProfitCenter E-Commerce Hosting Service from Center 7 Receives Sun's Coveted SunTone Certification", Apr. 17, 2001, Salt Lake City, U	
		CENTER 7 INC., "The Canopy Group Invests an additional \$5 million in Center 7", Feb. 15, 2001, Lindon, UT	
		CENTER 7 INC., "BuyersOnline Taps ProfitCenter from Center 7 to Host and Manage Web Site", Apr. 4, 2001, Lindon, UT	
		CENTER 7 INC., "Center 7 and Opto 22 Team Up to Expand Enterprise Management Beyond the IT World", Mar. 13, 2001, Salt Lake City, UT	
		CENTER 7 INC., "Center 7 delivers market leading Unicenter TNG Enterprise Management solution in a fraction of the time and cost ...", Feb. 9, 2001, Lindon, UT	
		RACHEL KONRAD, "GM's new vehicle for the future", Jan. 9, 2001, Detroit, MI	
		CENTER 7 INC., "Start-up Showcase", Jan. 8, 2001, Lindon, UT	
		CENTER 7 INC., "Center 7 Teams with Vignette to deliver leading e-Business Solutions", Aug. 21, 2000, Lindon, UT	
		CENTER 7 INC., "Center 7 Teams with Top Technology Players", Aug. 7, 2000, Lindon, UT	
		CENTER 7 INC., "Broadwing Provides \$8.7 Million IP VPN Solution for Center 7", Aug. 1, 2000, Austin, TX	

Examiner Signature

Date Considered

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 3 of 4

**Complete if Known**

Application Number	
Filing Date	January 8, 2002
First Named Inventor	Niel R. Nickolaisen
Group Art Unit	
Examiner Name	
Attorney Docket Number	01545.023

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		CENTER 7 INC., "Center 7 Employs CA's UniCenter TNG and Neugents to Deliver End-to-End Enterprise Management Solutions ...", Apr. 10, 2000, New Orleans, LA	
		CENTER 7 INC., "Center 7 Selected by Oracle Corporation to Host, Implement and Manage Oracle Applications", July 24, 2000, Lindon, UT	
		CENTER 7 INC., "Center 7 Teams with Top Technology Players", Aug. 7, 2000, Lindon, UT	
		CENTER 7 INC., "Center 7/INC. White Paper", Oct. 23, 2000, Lindon, UT	
		COMPUTER ASSOCIATES INTERNATIONAL, INC., "Overview Unicenter TNG", 1999, Islandia, NY	
		COMPUTER ASSOCIATES INTERNATIONAL, INC., "Proving the case: The Business Value of Network Management", 1999, Islandia, NY	
		COMPUTER ASSOCIATES INTERNATIONAL, INC., "Comprehensive eBusiness Management With Unicenter TNG", 2000, Islandia, NY	
		COMPUTER ASSOCIATES INTERNATIONAL, INC., "Unicenter TND Ultimate eBusiness Management", 2000, Islandia, NY	
		CENTER 7 INC., "PILOTCenter The New Approach to Enterprise Management", Feb. 2001, Lindon, UT	
		CENTER 7 INC., "PILOTCenter: We don't make the best enterprise management software, we just make it affordable and manageable", Aug. 2000, Lindon, UT	
		INTERNATIONAL BUSINESS MACHINES CORP., "Tivoli Redbooks Collection" CD-ROM, June 2001	

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Sheet 4 of 4

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<b>Filing Date</b>	January 8, 2002
<b>First Named Inventor</b>	Niel R. Nickolaisen
<b>Group Art Unit</b>	
<b>Examiner Name</b>	
<b>Attorney Docket Number</b>	01545.023

## **OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

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